# Article

# Shallow Convective Cloud Field Lifetime as a Key Factor for Evaluating Aerosol Effects



Guy Dagan, Ilan Koren, Orit Altaratz, Yoav Lehahn

ilan.koren@weizmann.ac.il

#### HIGHLIGHTS

The idea that cloud fields are mostly in equilibrium state is reexamined

In the equilibrium state no significant aerosol effect is expected

The field's lifetime is shorter than the time needed for reaching equilibrium state

Therefore, clouds can be highly susceptible to changes in aerosol properties

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## Article

# Shallow Convective Cloud Field Lifetime as a Key Factor for Evaluating Aerosol Effects

Guy Dagan,<sup>1,2</sup> Ilan Koren,<sup>1,4,\*</sup> Orit Altaratz,<sup>1</sup> and Yoav Lehahn<sup>1,3</sup>

#### **SUMMARY**

Clouds control much of the Earth's energy and water budgets. Aerosols, suspended in the atmosphere, interact with clouds and affect their properties. Recent studies have suggested that the aerosol effect on warm convective cloud systems evolve in time and eventually approach a steady state for which the overall effects of aerosols can be considered negligible. Using numerical simulations, it was estimated that the time needed for such cloud fields to approach this state is >24 hr. These results suggest that the typical cloud field lifetime is an important parameter in determining the total aerosol effect. Here, analyzing satellite observations and reanalysis data (with the aid of numerical simulations), we show that the characteristic timescale of warm convective cloud fields is less than 12 hr. Such a timescale implies that these clouds should be regarded as transient-state phenomena and therefore can be highly susceptible to changes in aerosol properties.

#### INTRODUCTION

Clouds play a fundamental role in the Earth's water and energy budgets (Trenberth et al., 2009). Despite extensive research conducted in the past few decades, clouds are still considered one of the largest sources of uncertainty in climate and climate change research (Boucher et al., 2013; Forster et al., 2007; Schneider et al., 2017). Warm shallow convective clouds pose a particular challenge in climate research as they are responsible for the largest uncertainty in tropical cloud feedback in climate models (Bony and Dufresne, 2005), and their properties often cannot be obtained from space (Platnick et al., 2003). These clouds are frequent over oceans (Norris, 1998), and they play an important role in the lower atmosphere's energy and moisture budgets and in the global circulation (Dagan and Chemke, 2016; Nuijens et al., 2017).

It has long been acknowledged that microphysical and optical properties of warm convective clouds are regulated by the combined effect of thermodynamic and dynamic environmental conditions and atmospheric aerosols; the former determine the magnitude and vertical location of the atmospheric instability and its dynamical states (for example, in terms of wind shear and subsidence) and the latter act as cloud condensation nuclei, and hence play a major role in warm cloud formation (Pruppacher et al., 1998). Changes in aerosol properties drive changes in many of the cloud's properties, such as onset and patterns of precipitation and cloud lifetime, size (Albrecht, 1989: Altaratz et al., 2014; Koren et al., 2014; Rosenfeld et al., 2008), and radiative properties (Twomey, 1977; Mülmenstädt and Feingold, 2018). The interactions among clouds, aerosols, and environmental thermodynamics and dynamics dictate how the cloud field will evolve with time (Dagan et al., 2016).

It was shown that aerosol concentration modulates the way by which clouds affect their environment (Dagan et al., 2016); i.e., in early stages of the cloud field development, polluted clouds act to increase the thermodynamic instability with time, whereas clean clouds consume it. In turn, the evolution of the field's thermodynamic properties affects the cloud properties; hence the total aerosol effect on warm convective clouds was shown to be time dependent (Dagan et al., 2017; Lee et al., 2012; Seifert et al., 2015). This highlights the importance of the cloud field lifetime when considering the average effect of aerosols on clouds. It has been recently suggested, based on theoretical arguments (Stevens and Feingold, 2009) and numerical simulations (Lee et al., 2012; Seifert et al., 2015), that given enough time for the cloud field (i.e., the system) to evolve, aerosol effects will be buffered, meaning that the series of feedback between thermodynamic and microphysical processes that regulates the atmospheric instability and precipitation will bring the system to an equilibrium state (ES) in which the system's albedo and total rain are

<sup>1</sup>Department of Earth and Planetary Sciences, The Weizmann Institute of Science, Rehovot 76100, Israel

<sup>2</sup>Present address: Atmospheric, Oceanic and Planetary Physics, Department of Physics, University of Oxford, Oxford, UK

<sup>3</sup>Present address: Department of Marine Geosciences, Charney School of Marine Sciences, University of Haifa, Haifa 3498838, Israel

<sup>4</sup>Lead Contact

\*Correspondence: ilan.koren@weizmann.ac.il https://doi.org/10.1016/j.isci. 2018.11.032

determined by a larger scale balance (Seifert et al., 2015). In this ES, the dependence of the system's average properties (domain average properties and not necessarily per individual cloud), such as cloud albedo and total rain, on the initial aerosol loading is shown to be small (Seifert et al., 2015).

If the ES is indeed realistic (i.e., commonly occurred in nature), it would imply low climate sensitivity to cloud-aerosol interactions and therefore, low climate sensitivity to changes in natural and anthropogenic aerosol properties (Boucher et al., 2013). Before reaching an ES, the cloud field is in a transient state in which cloud-scale processes do not have enough time for significantly affecting the thermodynamic conditions in the field. In the transient state, cloud properties strongly depend on the aerosol properties. Many observational studies (e.g., Kaufman et al., 2005; Koren et al., 2014; Yuan et al., 2011) and numerical studies simulating warm convective cloud fields (with simulation times ≤16 hr, e.g., Dagan et al., 2018; Dagan et al., 2017; Jiang et al., 2006; Jiang and Feingold, 2006; Seigel, 2014; Xue and Feingold, 2006) have shown a significant aerosol effect on cloud properties.

The fact that aerosol effect on warm convective clouds is time dependent suggests that the overall effect would likely to be an average of the effects during the cloud field evolution. Therefore, the cloud field's lifetime, i.e., the relevant time for averaging, would be a critical factor. For example, for a cloud field to reach an ES in which aerosol effects are negligible,  $\tau_f$ , the field's lifetime (i.e., the period for which the field retains its statistical properties), must be larger than  $\tau_e$ , the time taken to evolve to an ES (with no apparent aerosol effect). The importance of the ES could be therefore examined by comparing the two characteristic timescales. If  $\tau_f >> \tau_e$ , then the field will reach the ES early in its lifetime and therefore statistically most of the aerosol effect should be regarded as buffered. If  $\tau_e >> \tau_f$ , then the system is in a transient state throughout its lifetime and aerosol effects should be considered. We note that in the case wherein the two timescales are comparable, the system might reach an ES but for a relatively short period, and therefore the transient stage should be considered and aerosol effects should not be neglected.

Large eddy simulations (LES) are regarded as a state-of-the-art method for simulating warm cloud fields (Schneider et al., 2017). The simulations are initiated by small perturbations that deviate from the initial conditions, letting the model evolve and create the clouds with time. The domain's properties are usually neglected during the initial 2-3 hr of run time (the spin-up time) before the cloud field is well established (as the simulated cloud field starts from a cloud-free condition (Heiblum et al., 2016; Jiang et al., 2006; Jiang and Feingold, 2006; Seigel, 2014; Xue and Feingold, 2006). Recent studies (Lee et al., 2012; Seifert et al., 2015) have analyzed the interplay between microphysical and dynamic processes for different aerosol concentrations. It was estimated that the aerosol effects on the mean cloud field properties vanish after  $\tau_e$  > 24 hr (Seifert et al., 2015) (excluding the spin-up time). Specifically, it was shown that under constant large-scale forcing and sea surface temperature (SST), after  $\sim$ 28 hr, a trade cumulus cloud field reaches an organized state of "subsidence radiative-convective equilibrium" under which the aerosol effect on the domain's total rain amount, cloud fraction (CF), and radiative forcing is negligible (Seifert et al., 2015). Before reaching ES, however, the aerosol concentration significantly affects the cloud field properties, and in some cases the aerosol effect on the cloud albedo was shown to increase during the transient stage (when compared with the initial difference) before reaching the ES (see Figure 10 in Seifert et al., 2015).

Transient or ES? We address this question by estimating the characteristic timescale ( $\tau_f$ ) of warm convective cloud fields from observations and reanalysis data. Comparison of the estimated  $\tau_f$  to values of  $\tau_e$  as estimated in the recent LES studies (Lee et al., 2012; Seifert et al., 2015) and supported by theoretical arguments (Stevens and Feingold, 2009) will reveal the typical state of cloud fields—transient or in equilibrium (buffered).

We focus our analysis on a region over the Atlantic (Figure 1A) and constrain the study to marine convective cloud fields. The marine surface conditions are more uniform in space than those of the continents and also change slower, therefore, this analysis should give the upper limit for the estimation of  $\tau_f$  (rapid changes in surface properties, as often expected over land, are likely to drive changes in surface fluxes and atmospheric thermodynamic conditions and therefore influence the field's statistical properties, deviating the field from its average state and reducing the estimated  $\tau_f$ ). To bound the characteristic cloud field lifetime ( $\tau_f$ ), we use the best available satellite measurements, reanalysis data, radiosonde, and cloud-resolving models.



#### Figure 1. A Map of the Region of Interest

(A) Green crosses represent the evolving location of the Lagrangian region of interest corresponding to a specific case study, which follows the air trajectory as calculated by the Hysplit trajectory tool (Stein et al., 2015) starting at our reference location (black square) at 00-00-00 UTC on March 1, 2008.

(B and C) (B) Local (Eulerian) and (C) Lagrangian vertical velocity [Pa/s] as a function of time and pressure level. The black box region of interest was used for the Eulerian diagnostics throughout the study.

(D–M) Time series of MSG-SEVIRI cloud top height (m) over the Atlantic Ocean. (D–H) The time series of cloud top height for the Eulerian diagnostics; (I–M) the same for the Lagrangian diagnostics. The time between two consecutive snapshots is 12 hr. Fields of trade cumulus clouds are characterized by low cloud coverage and low-level cloud tops (blue regions). A time series of MSG data with higher temporal resolution is presented in Figures S2 and S5.

Specifically, we use detailed cloud properties retrieved from MODIS (Level 3 1° × 1° data) measurements onboard the polar orbiting Terra and Aqua satellites (Platnick et al., 2003), cloud field properties measured by the SEVIRI instrument onboard the Meteosat Second Generation (MSG) geostationary satellite (Aminou, 2002), measurements of key thermodynamic properties from radiosondes, and reanalysis data of the environmental thermodynamic conditions from the ECMWF (European Centre for Medium-Range Weather Forecasts) (Dee et al., 2011). Each of these independent datasets has advantages and limitations. For fields of relatively small clouds, satellite measurements can provide a relatively robust estimate of the CF and the cloud top temperature (CTT) (or its translation to cloud top height). Radiosonde measurements of atmospheric profiles of radiosonde data are restricted to continents and are limited in their spatial representation. Reanalysis data do provide a suite of all of the important thermodynamic variables in the atmosphere. However, using models to smooth and interpolate the atmospheric variable values between measurements adds unavoidable inaccuracies to the calculated values (Dee et al., 2011).

Cloud properties are controlled by numerous atmospheric variables that dictate (among other properties) the instability level, availability of water vapor, wind, and turbulence along the atmospheric profiles. Most of the atmospheric variables are linked through dynamic, thermodynamic, microphysical, and radiative processes and are therefore not independent.

#### RESULTS

To set the stage for our set of analyses, we merge satellite and reanalysis data in a case study manner in which we characterize the time-varying cloud properties and environmental conditions associated with an air mass that starts within a specific warm convective cloud field (Figure 1). The analysis is performed using two frameworks that provide complementary information on the cloud field and the environmental conditions associated with it: a Lagrangian framework that tracks the air mass as it is advected by the atmospheric circulation and a Eulerian framework that is fixed in space (Eastman et al., 2016).

Lagrangian diagnostics of the air mass that starts within a warm cloud field (observed on March 1, 2008, see Figure 1) reveals that the advection leads to dramatic changes in the environmental forcing that is

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#### Figure 2. Autocorrelation of Cloud Field Properties as Measured by MODIS-Aqua

(A) Spatial correlation of the cloud field properties as measured by MODIS-Aqua. The correlations are calculated compared to a single point at 20°N 30°W over three months (March–May 2008). Terra data show the same results (see Figure S7). The correlations of the mean values and standard deviations (SDs) of the CF and CTT are presented.

(B) Temporal correlation based on combined data of Terra and Aqua.

(C) Spatial correlation of CTT measured by MSG-SEVIRI for 60 hr at the beginning of March 2008. Red horizontal lines represent the e<sup>-1</sup> threshold.

acting on the air mass (expressed by changes in SST, subsidence, and surface fluxes; Bretherton et al., 1995; Eastman et al., 2016; Pincus et al., 1997; Sandu et al., 2010; see Figures 1C, S1, and S2). Furthermore, the Lagrangian diagnostics (Figure 1, lower panel line) shows that after a period of ~1.5 days, the air mass that was initially associated with a field of warm convective clouds is located within a region that is distinctly associated with deep convective clouds (Figure 1L), which differ significantly in their characteristics. Similarly, the same time period (1.5 days) was shown, by Lagrangian analysis, to be sufficient for the marine boundary layer to evolve from stratocumulus layer to cumulus layer (Bretherton and Pincus, 1995).

The Eulerian approach also shows a significant change in time over a specific location, as air masses with different histories enter the region (Mauger and Norris, 2010) (Figures 1, S3, and S4), and the local meteorological conditions change with time (Figures 1B and S5).

Previous studies also reported on a short-lived and high variable trade cumulus clouds with a variety of organizational levels existing at the same time (Rauber et al., 2007). For example, in Nuijens et al., (2014) it was noted that:

Although the cloud field consists predominantly of shallow trade-wind cumuli, it has a rich character, reflecting variability in largescale meteorology, local heterogeneity and the degree of cloud organization. Within a couple of hours, fields of cumuli can look remarkably different, in terms of how numerous, deep and large they are, whether they are raining, and whether they are accompanied by a second stratiform-like mode of cloud.

Moving from an individual case study (Figure 1) to the statistics of many cases, we focus first on direct satellite measurements of cloud properties. The spatial and temporal autocorrelations of cloud properties were analyzed using the MODIS cloud data (Figures 2A and 2B) and MSG-SEVIRI geostationary data (which have higher temporal and lower spatial resolution—Figure 2C). We focus on cloud properties for which retrieval is simple and does not rely on plane-parallel assumptions (Platnick et al., 2003), namely, the CF and the average CTT (the decorrelation of the cloud optical thickness and cloud water path are

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Figure 3. Mean Lagrangian Autocorrelation of the Cloud Field Properties along Trajectories as Measured by MODIS

Red horizontal lines represent the  $e^{-1}$  threshold. Aqua data was used. Terra data show the same results (Figure S7).

presented in Figure S6). For the next set of analyses, we extend the used frameworks to include a spatial one (in addition to the Lagrangian and Eulerian ones), all at 1° resolution. In all frameworks, we estimate how fast (or within what distance) the field properties change. In the Eulerian framework, we compute how the autocorrelations change with time for a few selected reference points. The autocorrelation is calculated at each of the reference points for the selected cloud property over a 3-month (March–May 2008) period. During this period, our region of interest is less loaded with Saharan dust (i.e., Ben-Ami et al., 2012). The properties of the transported dust and its changes in time might affect the quality of the remote-sensing retrievals as well as the cloud fields' properties and hence would add another layer of complexity (to the analysis that estimates  $\tau_i$ ) that we want to avoid. In the spatial analysis, we compute the correlations between the 3-month time series of the selected cloud properties of each of the selected reference points with all of the other grid points over the northern tropical Atlantic (0–30°N 20–70°W). Then we calculate the average correlation per points with equal distance from the reference point (Figure 2A). A map of such a correlation is shown in Figure S7. The Lagrangian analysis was based on correlations along more than 12,500 trajectories calculated using the ECMWF dataset, starting from a box over the same area (15–25°N 25–35°W—see details in the Transparent Methods).

All the correlation calculations are performed for a few reference points over the mid subtropical Atlantic. The correlation curves do not show sensitivity to the location of the reference point. Here, as an example, we show the results for 20°N 30°W (Figure 2).

Figure 2A shows the spatial autocorrelation of the mean and variance of the cloud properties as measured by the MODIS instrument, demonstrating decay after a distance of  $2^{\circ}$  ( $\approx$  200 km, the e-fold is used as a decorrelation threshold; Eastman et al., 2016). Moreover, the decay of the temporal autocorrelation (Figure 2B), based on combining data from Terra and Aqua is shown to be bounded between 3 and 9 hr, which are the available temporal differences between the MODIS measurements on the Terra and Aqua platforms (see details in the Transparent Methods). The mean correlation along many trajectories (Figure 3) decays significantly in less than 12 hr.

When analyzing data from the geostationary satellite (measured every 15 min by the SEVIRI instrument; Aminou, 2002), the correlation of the cloud properties decays even faster, on a spatial scale of ~1° (Figure 2C). The mean near-surface (1,000–900 hPa) wind speed over the examined location and time (7.0  $\pm$  3.2 m/s) from the reanalysis dataset can be used to translate the spatial results to temporal ones. If one considers the upper bound of the spatial decorrelation length to be ~2°, the  $\tau_f$  is scaled to be bound by ~8 hr, after which the cloud field will be advected, and will evolve into having significantly different properties (consistent with the Lagrangian analysis in Figure 3).



#### Figure 4. Autocorrelation of Time Series

Autocorrelation of (A) convective available potential energy (CAPE), (B) level of free convection (LFC) pressure and (C) equilibrium level (EL) pressure calculated based on radiosonde data measured at the San Juan Puerto Rico station (18.4°N 66.0°W) in March–May 2008. The interval between sequential data points is 12 hr. Red horizontal lines represent the  $e^{-1}$  threshold.

We note that Figure 2 suggests that some memory is present in the cloud properties (Eastman et al., 2016). Even if the decorrelation does not follow a perfect exponential decay, a decrease of the decorrelation to below  $e^{-1}$  represents a significant change in the observed property and hence can be used to constrain the cloud field lifetime.

In addition to the satellite results presented above, analysis of a 3-month dataset of radiosonde measurements from Puerto Rico (March–May 2008, Figure 4) also indicates that the convective cloud-related environmental conditions (convective available potential energy, level of free convection pressure, and equilibrium level pressure—see details in the Transparent Methods) decay significantly faster than 12 hr (which is the temporal resolution available for this type of data). We note that the decay of the auto-correlation as seen in Figures 2, 3, and 4 is monotonic, suggesting a continuous change rather than fluctuations around some reference state.

To study the temporal variation of the thermodynamic factors that control cloud fields, we use the reanalysis database to estimate their rate of change (for a full calculation of their autocorrelation decay time and distance, see Autocorrelation of Meteorological Parameters Relevant for Shallow Clouds, Supplemental Information, Figures S8 and S9). From theoretical considerations, we chose to focus on two thermodynamic variables—temperature (T) and humidity (relative humidity [RH] or water-vapor mixing ratio [Qv]) (Houze, 1994), and on a dynamic variable—the air vertical velocity ( $\Omega$ , pressure velocity) (Myers and Norris, 2013), all in the lower atmosphere. The measured changes in these selected variables (as estimated based on the reanalysis data) are plugged into cloud resolving numerical simulations to estimate the expected influence on clouds and cloud field properties.

We note that other environmental variables also have an impact on the clouds' properties, and that they may change differently with time. However, our numerical simulation results demonstrate that a change in each one of our selected variables alone (fixing all others) results in significant differences in the cloud's properties.

Figure 5 presents the mean magnitude of the changes in the selected environmental variables as a function of time for the lower atmosphere (mean value for seven levels between 700 and 1,000 hPa). We note that all the selected variables exhibit an increase in the mean differences with time (compared with a reference initial state) until saturation is reached. The temperature (Figure 5A) and humidity (RH and Qv, Figures 5B and 5C) reach saturation levels ( $\sim 2^{\circ}$ C,  $\sim 18\%$ , and 1.6 g/kg, respectively) more slowly than the pressure velocity, which reaches saturation within one time step (of 6 hr). Within the first time step, the mean change in RH is 5.1% and the mean change in T is 0.57°C. How sensitive are clouds to such rapid changes in the selected variables?



#### Figure 5. An Example of 3 Months' (March-May 2008) Mean Changes with Time of the Selected Thermodynamic Variables at Our Reference Point (20°N 30°W)

 $\Delta T [k]$ 

0.65

0.7

0.75

0.8

0.6

(A) temperature (T), (B) water-vapor mixing ratio (Qv), (C) relative humidity (RH), and (D) pressure velocity (Ω) for the lower atmosphere (mean over seven levels between 700 and 1,000 hPa).

(E) Vertical structure of the mean temperature change over 6 hr.

0.5

0.55

Using a single cloud model (Reisin et al., 1996; Tzivion et al., 1994) (see details in the Transparent Methods, Figure S10), we run three simulations of a warm convective cloud: (1) a reference simulation, (2) a simulation with drier initial profile based on the mean changes in RH during 6 hr (5.1% for all heights, maintaining the temperature profile fixed), and (3) a simulation with changes in the temperature profile (by a magnitude similar to that observed by the reanalysis data, including the vertical structure of the change; Figure 5E) maintaining a fixed Qv profile.

Figure 6 presents the temporal evolution of the total water mass and maximum vertical velocity in the cloud as a function of time for the three simulations. It demonstrates that changes in both T and RH at magnitudes similar to those observed during 6 hr can dramatically influence the cloud's properties. Decreasing the RH by the estimated magnitude of this one time step (6 hr) suppresses cloud development, whereas changes in T drive a reduction in the cloud's maximum total mass during the simulation to  $\sim$ 1/3 of the reference simulation's value (the mean temperature change during 12 hr completely suppresses cloud development not shown).

The possible effect of the observed changes in  $\Omega$  on the clouds' properties is examined using the LES model (Khairoutdinov and Randall, 2003) (see details in the Transparent Methods). The prescribed  $\Omega$ according to the large-scale forcing in the BOMEX case study, which describes a trade cumulus cloud field in the area of Barbados (Holland and Rasmusson, 1973; Siebesma et al., 2003), falls well within the range of observed  $\Omega$  (Figure S11) in the region of interest over the Atlantic Ocean. Three LES are

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Figure 6. Total Liquid Water Mass in the Domain (Total Mass, Upper Panel) and Maximum Vertical Velocity (Wmax, Lower Panel) as a Function of Time for the Three Simulations Conducted with the Single Cloud Model Black lines represent the control simulation, whereas the blue and green lines represent the simulations with changes in the temperature (T) or humidity (RH) profile, respectively.

conducted: (1) a reference simulation with the standard BOMEX setup, (2) a simulation with double the prescribed subsidence (0.065 Pa/s above the inversion base height at 1,500 m), and (3) one with no subsidence ( $\Omega = 0$ ). As inferred from the averaged change analysis, such magnitudes of changes in  $\Omega$  are frequently shown in the reanalysis dataset between each 6-hr time step (for which the mean magnitude of change is 0.044 Pa/s—Figures 5D and S11). The evolution of the domain-average properties of the LES runs (Figure 7) shows that when the subsidence is decreased from two times the prescribed BOMEX value to 0, the total water mass, and the mean liquid water path increase by an order of magnitude. In addition, the maximum vertical velocity (Wmax), the CF, and the COG (center of gravity—the domain vertical location of the liquid water mass COG; Koren et al., 2009) approximately double their values. A significant amount of rain is observed for the case of no  $\Omega$ , whereas no rain is produced in the simulation with double the subsidence (Figure 7D).

#### DISCUSSION

In previous theoretical simulations in which the large-scale conditions were held constant, the internal adaptation toward an ES was shown to be linked to the clouds' effects on the environmental conditions (Lee et al., 2012; Seifert et al., 2015). Clean clouds that produce rain rapidly reach an ES, whereas polluted clouds have to go through significant deepening of the boundary layer before precipitation starts and then reach the ES (Seifert et al., 2015). Theoretically, if the environmental conditions would change at the same rate or slower than the field adapts toward the ES, then the system could reach the ES and stay near it. In this case, the observed cloud field properties would be expected to change approximately at the rate of the timescale of the environmental changes. However, our results show that the changes in the cloud field properties and in the environmental conditions are not marginal (in either rate or magnitude). Satellite measurements of CF and CTT are shown here to change significantly and relatively rapidly (faster than the time taken to reach the ES). The correlation decreases below e-fold, for both the average and the standard deviation, within a timescale of <12 hr or  $1-2^{\circ}$ . In a similar manner, the marine boundary layer's temperature, humidity, a measure of the lower troposphere subsidence, and a measure of the collective environmental conditions' state (based on a PCA analysis; see Autocorrelation of Meteorological Parameters Relevant for Shallow Clouds, Supplemental Information) all from reanalysis data, are shown to change considerably during this time frame so that the expected clouds and cloud fields will have significantly different properties. Analysis of radiosonde measurements over Puerto Rico also indicates that the autocorrelation of the convective-clouds-related environmental conditions reaches an e-fold decay at a faster rate than 12 hr.

All the presented results bound the characteristic timescale of warm convective cloud fields ( $\tau_f$ ) to an upper limit of ~12 hr (as cited above, for example, Nuijens et al., (2014) noted that within a "couple of hours" the fields can "look remarkably different"). The fact that such an upper bound applies to all of the different datasets that are analyzed (using different approaches) increases the level of confidence in our  $\tau_f$  estimation. This estimated timescale suggests that marine warm convective cloud fields are likely to be in a transient state and not in an ES. Hence, they are likely to be susceptible to changes in natural or anthropogenic aerosol properties and aerosol effects on cloud albedo and precipitation should be considered in global and





(A–F) (A) Total liquid water mass in the domain (Total mass), (B) mean (over domain) cloudy liquid water path (LWP calculated only over the cloudy columns), (C) maximum vertical velocity (Wmax), (D) mean surface rain rate, (E) mean liquid water center of gravity (COG), and, (F) cloud fraction (CF).

regional climate models. Moreover, such a time frame should restrict the simulation time in numerical experiments that are aimed at evaluating aerosol effects on warm convective clouds and the derived climate effect.

It is possible that under some conditions a specific cloud field will exist long enough to reach an ES (i.e.,  $\tau_f > \tau_e$ ). However, here we show that in a statistical perspective this is not the case. Our results suggest that  $2\tau_f \sim = \tau_e$ , which implies that the transient stage is the dominant one. We note that even if considering doubling of the fields' lifetime such that  $\tau_f \sim \tau_e$ , still most of the time the fields will be in a transient stage. We speculate that this will be the case for other types of convective clouds, but this needs to be examined in future work.

From a completely different perspective, such an approximation for  $\tau_f$  can be supported by considering the link between the length scale of warm convective cloud fields and their lifetime. Such a link has been suggested to characterize any atmospheric dynamical entity (Cullen, 2007; Smagorinsky, 1974). The characteristic length scale of warm trade cumulus cloud fields is estimated to be around ~100–200 km (Figure 2A). Accordingly, an atmospheric entity of 200 km indeed scales to a temporal range of 1–10 hr.

#### **Limitations of Study**

We note that a clear definition of a cloud field lifetime is challenging and depends on the available data. One can define cloud field by its collective cloud properties or by the thermodynamic conditions that allow the field to exist. No option is perfect. The cloud measurements are often limited, and the environmental properties are indirect. In this article, we explore both approaches. Driven by similar reasons the estimation of  $\tau_e$ , is challenging as well. There is no clear general theory for estimating this measure. Here we based  $\tau_e$  estimates on numerical studies that each of them had to use a specific set of environmental conditions. Therefore, in essence it does not span all possibilities of trade cumulus cloud fields. Despite this limitation we show that in several studies (that used few environmental conditions) the simulations did not reach an ES while running less than 16 hr, which further provides lower limit to  $\tau_e$ . This study sets the stage for the comparison of the two characteristic time scales to estimate if warm convective cloud fields are transient in nature.

Moreover, in our analysis, we do not consider changes in aerosol properties in time (due to washout, largescale advection, local sources, etc.). For a given cloud field (with a given initial aerosol concentration), considering this additional change in aerosol properties is likely to further restrict the field's lifetime ( $\tau_t$ ) as it can change the clouds microphysical and dynamic properties. Hence, considering a fixed aerosol loading conditions (as was done here and in Seifert et al., 2015) serves the purpose of estimating the upper



bound of  $\tau_f$ . The usage of marine surface conditions serves the same purpose as they are more uniform in space and change slower compared with those of land. In a similar manner, the diurnal cycle could act as another driver for shortening the field lifetime as it imposes changes in the thermodynamic conditions that control the cloud fields' properties (Matsui et al., 2006).

#### **METHODS**

All methods can be found in the accompanying Transparent Methods supplemental file.

#### SUPPLEMENTAL INFORMATION

Supplemental Information includes Transparent Methods and 11 figures and can be found with this article online at https://doi.org/10.1016/j.isci.2018.11.032.

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#### **AUTHOR CONTRIBUTIONS**

G.D. carried out the analysis. G.D., I.K., O.A., and Y.L. conceived the basic ideas, discussed the results and wrote the paper.

### **DECLARATION OF INTERESTS**

The authors declare no competing interests.

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# **CellPress**

ISCI, Volume 10

**Supplemental Information** 

**Shallow Convective Cloud Field** 

Lifetime as a Key Factor

for Evaluating Aerosol Effects

Guy Dagan, Ilan Koren, Orit Altaratz, and Yoav Lehahn

### **Transparent Methods**

We examine warm convective cloud field properties using Eulerian, spatial and Lagrangian approaches to obtain complementary descriptions. The Lagrangian method is used to follow a cloud field along its trajectory as it moves with advection. An Eulerian approach can give an indication of the clouds' properties over the same geographical location, which may conserve their properties over a longer time. In addition, spatial analysis is conducted to estimate the cloud fields' typical size. The mean wind speed is then used to translate between spatial and temporal scales.

Combining the data measured by the two MODIS instruments (Platnick et al., 2003), on the Terra and Aqua polar satellites, produces higher temporal resolution. The average local day- and night-time overpasses of the Terra satellite are at around 1030 h and 2230 h, and the Aqua's overpasses are at around 1330 h and 0130 h. Therefore, we could compare the differences in time intervals between 3 to 9 h resolutions for each day. We use here Level 3 data with a spatial resolution of  $1^{\circ}x1^{\circ}$ . No filtering of the data according to the cloud top temperature is conducted for including transitions between shallow to deep convection.

### **Trajectory calculation**

To obtain large statistics, we calculate all possible trajectories over 3 months (March-May 2008) using 6 h and 1° temporal and spatial resolution, reanalysis data (Dee et al., 2011) starting from a 10° x 10° box over the Atlantic Ocean (15–25°N 35–45°W). The trajectory calculations are based on the mean boundary layer winds (1000–800 hPa) for 60 h (similar to what was done in Mauger and Norris (2010), who traced the air parcels for 72 h both forward and backward, while Eastman et al. (2016) traced the air parcels for 48 h). Our autocorrelation calculations are based on 12,593 trajectories (Fig. 3). To maximize the available data, we follow the method of Eastman et al. (2016) using all possible combinations for each time interval (i.e., for the 12-h interval, all data points that are on the same trajectory and have a 12-h difference in time are used).

### Model simulations

To estimate the expected effect of the observed changes in the environmental conditions on cloud properties, we employ both single cloud (Reisin et al., 1996; Tzivion et al., 1994) and LES models (Khairoutdinov and Randall, 2003).

### Single cloud model

We use the Tel Aviv University axisymmetric non-hydrostatic cloud model (TAU-CM) with detailed treatment of cloud microphysics (Reisin et al., 1996; Tzivion et al., 1994). The included warm microphysical processes are nucleation of cloud condensation nuclei, condensation and evaporation, collision–coalescence, breakup, and sedimentation. The microphysical processes are formulated and solved using a multi-moment bin method (Tzivion et al., 1987). The aerosol distribution adopts a marine size distribution (Jaenicke, 1988).

The model resolution is set to 50 m in both the vertical and horizontal directions, with a time step of 1 s. An axisymmetric grid describes movement in the vertical and radial directions.

We run the model using three different initial conditions. The initial conditions for the reference simulation are based on idealized atmospheric profiles that characterize a moist tropical environment (Garstang and Betts, 1974) (see Fig. S10, SI). These include a well-mixed subcloud layer between 0 and ~600 m, a conditionally unstable cloudy layer between 600 and 4000 m and an overlying inversion layer. The RH above the inversion layer is 30%. The inversion layer has a temperature gradient of 2°C over 50 m. Two additional simulations with different initial conditions are conducted: one with changes in the RH over all heights fixing the temperature profile, and one with changes in the temperature profile of the lower atmosphere (below 700 mb – fixing the water vapor mixing ratio). The magnitudes of the changes in temperature and humidity in these simulations are according to the mean change during 6 h from the reanalysis data set (see Fig. 5).

### LES

The SAM (System for Atmospheric Modeling), non-hydrostatic, anelastic LES model version 6.10.3 (Khairoutdinov and Randall, 2003) is used to simulate the trade cumulus case of BOMEX (Holland and Rasmusson, 1973; Siebesma et al., 2003). The BOMEX case is based on observations made near Barbados in June 1969. This case is initialized using the setup specified in Siebesma et al. (Siebesma et al., 2003). The setup includes surface fluxes and large-scale forcing (including subsidence). The horizontal resolution

is set to 100 m while the vertical resolution is set to 40 m. The domain size is 12.8 x  $12.8 \text{ x} 4.0 \text{ km}^3$ , and the time step is 1 s. Each simulation is conducted for 8 h.

A bin microphysical scheme (Khain and Pokrovsky, 2004) is used. The scheme solves warm microphysical processes, including droplet nucleation, diffusional growth, collision coalescence, sedimentation and breakup. Here again, the aerosol distribution adopts a marine size distribution (Jaenicke, 1988). The model solves prognostic equation for the aerosol mass including removal by surface rain and regeneration upon drop evaporation.

### Radiosonde data

The radiosonde data includes measurements from the San Juan Puerto Rico station over 3 months (March-May 2008). The data is obtained from the Atmospheric Sounding dataset of the University of Wyoming (http://weather.uwyo.edu/upperair/sounding.html). The LFC is the lowest level at which an ascending adiabatic parcel becomes positively buoyant (less dense than the environment), whereas the EL is the level at which the density of the parcel equals that of the environment; above it, the buoyancy of the parcel is negative. The air parcel is assumed to cool down while ascending according to the dry adiabatic lapse rate until it reaches the lifting condensation level (LCL), where its RH equals 100%, and according to the moist adiabatic lapse rate above this level. Note that the EL does not necessarily represent the cloud's real top. Reduction of buoyancy due to entrainment and accumulation of liquid water loading can result in a lower location of the cloud top compared to the EL. On the other hand, the momentum of the parcel can lead to an overshoot of the cloud top to above the EL (Wyant et al., 1997).

### S1. Lagrangian approach

The forward trajectory (Rolph; Draxler and Rolph) presented in Fig. S1 demonstrates the use of the Lagrangian approach and shows how an air mass is advected over a large distance along 60 h (2.5 days, the timescale simulated in Seifert et al., 2015). The initial height of the air parcel is chosen to be 500 m for representing the boundary layer. In this example, the air that starts at 20°N (subtropical region) on 1 Mar 2008 reaches 8.5°N after 60 h (which is close to the ITCZ (Schneider et al., 2014)). Figure S2 shows the significant changes in the environmental conditions (pressure velocity –  $\Omega$ , sea surface temperature – SST and boundary layer height –BLH) and cloud field properties (cloud top height) along this trajectory. It can be seen that the pressure vertical velocity in the lower atmosphere changes dramatically over time and even flips sign. The SST increases significantly (~3°C). The BLH and cloud top height (as measured by MSG-SEVIRI) also change significantly along the trajectory.



Figure S1. Forward trajectory of air starting at 20°N 30°W on 1 Mar 2008 over 60 h. The initial height of the air parcel is chosen to be 500 m to represent the boundary layer (related to Fig. 1 in the main text).



Figure S2. (a) Pressure vertical velocity ( $\Omega$  [Pa/s]), (b) sea surface temperature (SST), (c) boundary layer height (BLH), and (d) cloud top height (cloud top H) along the trajectory presented in Fig. S1.  $\Omega$ , SST and BLH values were taken from ECMWF reanalysis data while cloud top height values were measured by MSG-SEVIRI (related to Fig. 1 in the main text).

### S2. Eulerian approach

The back trajectories presented in Figs. S3 and S4 demonstrate the Eulerian approach and show that air masses that reach a single location but at different times (Fig. S3), or different heights (Fig. S4), can have a different history. Moreover, the environmental conditions ( $\Omega$ , BLH and SST), as well as the cloud field properties (cloud top height) at the same location change dramatically over 60 h (Fig. S5).



Figure S3. Backward trajectories of air masses reaching 20°N 30°W for the first 3 days of March 2008. Each trajectory is separated by 24 h. The final height of the air parcels is chosen to be 500 m to represent the boundary layer (related to Fig. 1 in the main text).



Figure S4. Backward trajectories of air mass reaching 20°N 30°W on 1 Mar 2008. The final height of the air parcels is chosen to be 10, 500 and 1000 m to represent different heights at the boundary layer (related to Fig. 1 in the main text).



Figure S5. (a) Pressure vertical velocity ( $\Omega$  [Pa/s]), (b) sea surface temperature (SST), (c) boundary layer height (BLH), and (d) cloud top height (cloud top H) over 60 h at 20°N 30°W starting from 1 Mar 2008.  $\Omega$ , SST and BLH values were taken from ECMWF, while cloud top height values were measured by MSG-SEVIRI (related to Fig. 1 in the main text).

### S3. MODIS data

### Decorrelation of the cloud optical thickness and cloud water path

Although the MODIS retrievals of cloud optical thickness (COT<sub>liq</sub>) and cloud liquid water path (CWP<sub>liq</sub>) are based on a plane parallel assumption and hence may involve high inaccuracy, we still present their calculated spatial and temporal autocorrelation as they are important factors (Fig. S6). It demonstrates that the local and spatial autocorrelation of COT<sub>liq</sub> and CWP<sub>liq</sub> decreases to below  $e^{-1}$  in less than 24 h (the available temporal resolution for this data set – as they can be measured only during the day overpass) and in less than a degree, respectively. Hence demonstrating consistent results with the CF and CTT (Fig. 2 in the main text).



Figure S6. Spatial (a - b) and temporal (c-d) correlation of the cloud field properties as measured by MODIS. The correlations are calculated compared to a single point at 20°N 30°W over 3 months (March–May 2008). The cloud properties that are presented here are liquid cloud optical thickness (COT<sub>liq</sub>) and liquid cloud water path (CWP<sub>liq</sub>) (related to Fig. 2 in the main text).

### **Terra autocorrelation**

Figure S7 is similar to Figs. 2a, b and 3 in the main text, but it is based on MODIS-Terra measurements (instead of Aqua).

Figure S7a presents the spatial correlation of the cloud field properties (mean and std of the CF and CTT). Figure S7b presents the means as a function of the distance from the reference point (20°N 30°W). It demonstrates that the decorrelation length scale is  $\sim 2^{\circ}$ .

Figure S7c presents the autocorrelation of the cloud field properties as measured by MODIS-Terra along many trajectories (the same trajectories used in Fig. 3 in the main text). Again, the presented cloud field properties are mean and std of the CF and the CTT. The decorrelation time is shown to be <12 h.

























Figure S7. (a) Eulerian spatial, (b) Eulerian spatial mean and (c) Lagrangian mean along the trajectories correlation of the cloud field properties as measured by MODIS-Terra. The spatial correlations are calculated compared to a single point at 20°N 30°W over 3 months (March–May 2008). Red horizontal lines represent the e<sup>-1</sup> threshold (related to Fig. 2 in the main text).

### **<u>S4. Autocorrelation of meteorological parameters relevant for shallow clouds</u>**

Beside the calculation of the autocorrelation of the cloud properties (Fig. 2 in the main text) we also calculate the decay time and distance of the autocorrelation of meteorological parameters that are relevant for shallow warm clouds. We examine the temporal and spatial changes in the environmental conditions that control the cloud field properties. We focus on 70 reanalysis variables that describe the lower atmospheric conditions (for levels between 700 and 1000 hPa). The environmental variables are not independent and correlations are expected between many of them. To choose the variables that best correlate with marine warm convective cloud field properties, we analyze the correlations between the 70 reanalysis variables and cloud properties as measured from space [cloud top pressure (CTP); Fig. S9 below, similar analysis to Koren et al., (2010)]. 8 of the 70 parameters that were shown to be relatively independent and correlated well with the observed cloud properties, are chosen, including temperature, horizontal and vertical velocities, relative humidity (RH) and potential vorticity at several pressure levels, as well as the SST (see the complete list below). Those variables are controlled by various processes and hence can evolve at different rates; for example, locally, the SST changes relatively slowly (on the order of weeks; Frankignoul, 1985) whereas the winds and air temperature can change much more rapidly (on the order of hours).

Apart from analyzing the time decay of each variable (the e-fold is used as a decorrelation threshold here as well), we analyze them together in accordance with their contribution to the overall variance in the environmental conditions [using principal component analysis (PCA)]. The decay of the first 3 PCs that capture 98.5% of the overall variance is quantify (described below).

We analyze changes in environmental conditions over a 3-month period, between March and May 2008. The analysis is performed using both Lagrangian and Eulerian frameworks. In the Eulerian analysis, we compute autocorrelation between time series at our reference point (20°N 30°W). In the spatial analysis, we compute the correlation

between the time series of our reference point and all other points over the northern tropical Atlantic (0–30°N 20–70°W). The Lagrangian analysis is based on correlations along more than 12,500 trajectories calculated using the ECMWF dataset, starting from a box over the same area (15–25°N 25–35°W, as in the manuscript). The spatial resolution of the data is 1° x 1°.

Figure S8 shows the decay time and distance in the autocorrelation of each of the selected variables from both the Eulerian and Lagrangian perspectives. Their autocorrelation decay time (locally in Fig. S8a, and by following the air mass trajectories in Fig. S8d) is shown to be 6 h, and the spatial correlation distance is  $\sim 2^{\circ}$  ( $\approx 200$  km, Fig. S8b and c). The mean near surface (1000–900 hPa) wind speed over the examined location and time (7.0 ± 3.2 m/s) can be used to translate the spatial results to temporal ones. The spatial analysis therefore bounds  $\tau_{\rm f}$  to  $\sim 8$  h, after which the cloud field will be advected to an area with significantly different environmental conditions (consistent with the Lagrangian analysis in Fig. S8d). These results are with perfect agreement with the results presented in the manuscript.



Figure S8. (a) Eulerian temporal, (b,c) Eulerian spatial and (d) Lagrangian correlation of 8 environmental parameters. Lower panels: correlation of the Pythagorean distance on the first 3 PC volumes. The Eulerian correlations are calculated compared to a single point at 20°N 30°W over 3 months (March–May 2008). Red horizontal lines represent the e<sup>-1</sup> threshold (related to Fig. 5 in the main text).

We use a PCA approach to evaluate the collective contribution of the main cloud controlling environmental variables to the variability of the environmental conditions in order to bound the characteristic timescale of a cloud field. The variables that change rapidly contribute more to the overall variance and therefore control the PCA decay time and distance. It will be similar for the cloud field's characteristic timescale, if indeed the selected fast-changing variables are essential components in dictating the clouds' properties. Of all of the selected variables, the wind divergence near the surface and the updraft in the boundary layer are the fastest-changing variables and therefore, the limiting factors. Vertical velocity has been previously shown to be an essential component in small cloud formation (Myers and Norris, 2013). We note that even if the cloud field were controlled by the more persistent variables (such as RH950), it would increase  $\tau_f$  to the timescale of a day, but most of the cloud fields would still likely be in a "transient state" which has been suggested to last ~24 h.

### Correlation between cloud field properties and meteorological conditions

The correlations between the CTP measured by MODIS-Aqua (Platnick et al., 2003) and 70 ECMWF reanalysis parameters are examined. The ECMWF parameters include pressure-level parameters such as: temperature (T), vertical velocity (w), west–east wind velocity (u), north–south wind velocity (v), water vapor content (q), RH, pv, vo and div. All of these parameters are sampled at 50-hPa resolution between 1000 and 700 hPa. Here we focus on trade cumulus clouds and hence consider only the meteorological conditions in the lower atmosphere. In addition to the pressure-level parameters, correlations of the cloud properties with surface (or near-surface) properties are also examined. The surface properties include SST, and near-surface wind speed and direction (at 1000 hPa). A few combined parameters are also considered: the difference between the SST and the temperature at 850 hPa (SST-T850), T950-T800, the mean RH between 1000 and 700 hPa, and the BLH.



Figure S9. Correlations of cloud top pressure (CTP) measured by MODIS-Aqua and 70 ECMWF parameters. To restrict the clouds to trade cumulus clouds, we consider only the pixels with cloud fraction (CF) between 0.05 and 0.4 and CTP between 920 and 700 hPa. The data were taken from a 10° x 10° box over the Atlantic (25–35°W 15–25°N) for 3 months (March–May 2008) (related to Fig. 5 in the main text).

We choose 8 of the 70 reanalysis parameters that are relatively independent and have the best correlation with CTP for the analysis (presented in Fig. S8). The selected parameters are: temperature at 950 hPa (T950), vertical velocity at 800 hPa (w800), north–south horizontal velocity at 1000 hPa (v1000), relative humidity at 950 hPa (RH950), potential vorticity at 750 hPa (PV750), divergence at 1000 hPa (div1000), boundary layer height (BLH) and sea surface temperature minus temperature at 850 hPa (SST-T850). To avoid repetitions, each type of parameter is chosen for only one pressure level (the one with the best correlation). Moreover, because the specific humidity (q) and relative humidity (RH), and potential vorticity (pv) and relative vorticity (vo) are highly correlated, we choose to use only RH and pv (due to the slightly higher correlations with the CTP).

To consider the mutual variability of those 8 parameters, principal component analysis (PCA) is conducted. Prior to this analysis, the anomalies of each parameter are calculated (by subtracting the mean), and in order to use only unit-less numbers, we divide those anomalies by their mean and those values are used in the PCA. The cloud fields' evolution is most sensitive to the cloud-controlling parameters with the largest

variance (due to their rapid change). Thus, we do not normalize the data by standard deviation (standard score, having standard deviation of 1) because we want the matrix to be sensitive to the parameters with the largest variance. The first three PCs explain 98.5% of the total variance. To consider all of the parameters together, we calculate the correlation of the Pythagorean distance at the volume of the first three PCs: ( $\sqrt{PCl^2 + PC2^2 + PC3^2}$ ). By using this method, the changes in all parameters that are relevant to clouds are considered in a very condensed and efficient manner (by using only a single number). To define a characteristic timescale for the cloud field, a threshold of e<sup>-1</sup> is chosen for the spatial and temporal correlations of the environmental conditions (same as in the main text).

### **S5. Initial profiles for the single cloud simulations**

Figure S10 presents the initial conditions for the single cloud simulations. The reference initial conditions (black curves) are based on idealized atmospheric profiles that characterize a moist tropical environment (Garstang and Betts, 1974). Two additional simulations with different initial conditions were conducted: one with changes in the RH over all heights (green curve), and one with changes in the temperature profile of the lower atmosphere (below 700 mb – blue curve). The magnitudes of the changes in the temperature and RH in these simulations are according to the mean change during 6 h in the reanalysis data set (Fig. 5 in the main text).



Figure S10. Thermodynamic diagram presenting the three initial atmospheric profiles; reference (black), less humid (green, with the same temperature profile as the reference), and warmer (blue, with the same dew-point temperature profile as the reference). Solid lines denote temperature profiles and dashed lines, dew-point temperature (related to Fig. 6 in the main text).

### **<u>S6. Initial subsidence conditions for the LES simulations</u>**

Figure S11 presents the prescribed  $\Omega$  (green curve) according to the large-scale forcing in the BOMEX case study, which describes a trade cumulus cloud field in the area of Barbados (Holland and Rasmusson, 1973; Siebesma et al., 2003). It falls well within the range of observed  $\Omega$  in the region of interest over the Atlantic Ocean (blue curve). In order to examine the sensitivity of the cloud fields' properties to such changes in the  $\Omega$ , three LES were conducted: 1) a reference simulation with the standard BOMEX setup, 2) a simulation with double the prescribed subsidence (0.065 Pa/s above the inversion-base height at 1500 m) and 3) one with no subsidence ( $\Omega = 0$ ).



Figure S11. Vertical pressure velocity at 800 mb at our reference point (20°N 30°W) over 3 months (March–May 2008) in blue, and the regular and twice the vertical velocity prescribed in the BOMEX case study above the inversion base (≥1500 m) in green and red lines, respectively (related to Fig. 7 in the main text).

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